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\*\*\*SENIOR COUNSEL

December 7, 2001

WRITER'S DIRECT NUMBER: (202) 218-7834

Art Unit 2151

TECH CENTER 1800/2000

Commissioner for Patents Washington, D.C. 20231

Re:

U.S. Utility Patent Application

Appl. No. 09/937,604; 102(e) Date: March 24, 2000

Adjuvant Compositions and Methods for Enhancing Immune

Response to Polynucleotide-Based Vaccines

Inventor:

Carl J. Wheeler

Our Ref:

1530.0310002/EKS/EJH

Sir:

Transmitted herewith for appropriate action are the following documents:

- Technology Center 2100 1. Original Certificate Under 37 C.F.R. § 3.73(b) along with a copy of the Assignment document;
- 2. Original Power of Attorney from Assignee; and
- 3. Return Postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents
December 7, 2001
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The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

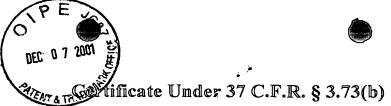
Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Elizabeth J. Haanes, Ph.D. Attorney for Applicants Registration No. 42,613

EJH/pcd Enclosures

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Cennols Center 300 to Applicant/Patent Owner: Carl J. Wheeler Application No./Patent No.: 09/937,604, 102(e) Date: September 26, 2001, which is the U.S. National Phase of PCT/US00/08282, International Filing Date: March 24, 2000 Entitled: Adjuvant Compositions and Methods for Enhancing Immune Responses to Polynucleotide-Based Vaccines Vical Incorporated a corporation (Name of Assignee) TOTAL STATE OF THE states that it is: 1. [X] the assignee of the entire right, title, and interest, or an assignee of an undivided part interest 2. [] in the patent application/patent identified above by virtue of either: An Assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached. OR A chain of title from the inventor(s) of the patent application/patent identified above to the current B. [] assignee as shown below: 1. From: To: The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_\_, Frame \_\_\_\_\_\_, or for which a copy thereof is attached. 2. From: \_ \_\_\_ To: \_\_\_ The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. \_\_\_ To: \_\_\_ 3. From: The document was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached. [ ] Additional documents in the chain of title are listed on a supplemental sheet. [X] Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8] The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee. (Dec. 3 2001) Name: Alan E. Dow, Ph.D. Title: Vice President, General Counsel